Docket No. 247627US2SRD DIV

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshiaki SAITO, et al.

SERIAL NO: New Divisional Application GAU:

FILED: Herewith EXAMINER:

FOR: MAGNETIC MEMORY

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449 which were submitted in Application Serial no. 09/912,321 filed 07/26/01 and Application Serial No. 10/357,217 filed 02/04/03, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET							1 OF 1
Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified)PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 247627US-2SRD DIV		APPLN. SERIAL NO. New Div. Appln.	
				APPLICANT	0.57		
LIST OF REFERENCES CITED BY APPLICANT			YOSHIAKI SAITO, ET AL.				
				FILED HEREWITH		GROUP	
			U.S	. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIAT E
	AA	5,659,499	08/19/97	E. Chen, et al.	1		
	AB	5,956,267	09/21/99	A. T. Hurst, etal.			
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	AD	6,178,074	01/2001	Gill			
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		<b></b>	FOREI	GN PATENT DOCUMENTS	<u>.</u>		
	· .	DOCUMENT TRAN					ANSLATION
		NUMBER	DATE	COUNTRY		YES	NO
	AO	WO 00/10172	02/24/00	WIPO (with English abstract)	ract)		х
	AP	10-4227	01/06/98	Japan			х
	AQ						
	AP						
	AS						
	AT						
	AU						
	AV						
		OTHER REFERE	ENCES (Incl	uding Author, Title, Date, Pertinent Pa	ines etc.)		
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	AX	L.F. SCHELP, et al., Physical Review B, Vol. 56, No. 10, pps. R5747 - R5750, "SPIN-DEPENDENT TUNNELING WITH COULOMB BLOCKADE," September 1, 1997  Y. SAITO, et al., J. Magnetic. Soc., Vol. 23, No. 4-2, pps. 1269-1272, "SPIN-DEPENDENT TUNNELING THROUGH LAYERED HARD-MAGNETIC NANO-PARTICLES," 1999					
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	AZ	F. MONTAIGNE, et al., Applied Physics Letters, Vol. 73, No. 19, pps. 2829-2831, "ENHANCED TUNNEL MAGNETORESISTANCE AT HIGH BIAS VOLTAGE IN DOUBLE-BARRIER PLANAR JUNCTIONS," November 9, 1998					

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered

Examiner